This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication: 29.05.2002 Bulletin 2002/22

(51) Int Cl.7: C23C 30/00, C23C 16/40

(21) Application number: 01126183.1

(22) Date of filing: 05.11.2001

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE TR
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 22.11.2000 SE 0004272

(71) Applicant: SANDVIK AKTIEBOLAG 811 81 Sandviken (SE) (72) Inventors:

- Ljungberg, Björn
 12244 Enskede (SE)
- Budzynski, Lars-Anders 14146 Huddinge (SE)
- (74) Representative: Taquist, Lennart et al Sandvik AB Patent Department 811 81 SANDVIKEN (SE)

(54) Oxide coated cutting tool

(57) According to the present invention there is provided a body at least partially coated with one or more refractory layers of which at least one layer essentially consist of $\alpha\text{-Al}_2O_3$. Said $\alpha\text{-Al}_2O_3\text{-layer consists of essentially equiaxed grains with an average grain size of < 1 <math display="inline">\mu m$ and with a bimodal grain size distribution with

coarser grains with an average grainsize in the interval 0.5 - 1 μm and finer grains with an average grainsize of <0.5 μm . The Al₂O₃-layer further contains striated zones containing titanium (>5 at %) but no nitrogen or carbon. This particular microstructure is obtained by temporarily stopping the gases needed for the growth of the Al₂O₃-layer and introducing TiCl₄.

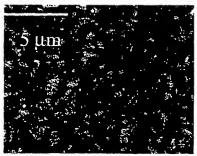


Fig. 1a (invention)

Striated zones



Fig. 1b (invention)

:P 1 209 255 A2

Description

[0001] The present invention relates to a coated cutting tool for chipforming machining. The coating includes at least one alumina (Al_2O_3) layer characterized by fine, equiaxed grains.

[0002] Cemented carbide cutting tools coated with various types of Al_2O_3 -layers using Chemical Vapour Deposition (CVD), e.g., pure κ - Al_2O_3 , mixtures of κ -and α - Al_2O_3 coarse grained α - Al_2O_3 and fine grained textured α - Al_2O_3 have been commercially available for years generally in multilayer combinations with other metal carbide and/or nitride-layers, the metal being selected from transition metals of the IVB, VB and VIB groups of the Periodic Table.

[0003] Al_2O_3 crystallises in several different phases: α , κ , γ , δ , θ etc. The two most frequently occurring phases of CVD-produced wear resistant Al_2O_3 -layers are the thermodynamically stable, α -phase and the metastable κ -phase or a mixture thereof. Generally, the K-phase exhibits a grainsize in the range 0.5-3.0 μ m and the grains predominately grow through the whole coating forming a columnar type coating morphology. Furthermore, the κ -Al $_2O_3$ -layers are free from crystallographic defects and also free from micropores and voids.

[0004] Coarsegrained (3-6 μ m) α -Al₂O₃ often possesses porosity and crystallographic defects, while finegrained textured α -Al₂O₃ are free of defects with very pronounced columnar-shaped grains.

[0005] In $\overline{\text{US}}$ 5,674,564 is disclosed a method of growing a finegrained κ -alumina-layer by employing a low deposition temperature and a high concentration of a sulphur dopant.

[0006] In US 5,487,625 a method is disclosed for obtaining a fine grained, (012)-textured α -Al₂O₃-layer consisting of columnar grains with a small cross section (about 1 μ m).

[0007] In US 5,766,782 a method is disclosed for obtaining a finegrained (104)-textured α-Al₂O₃-layer.

[0008] As mentioned above, all Al₂O₃-layers produced by the CVD technique possess a more or less columnarlike grainstructure. An Al₂O₃-layer with an equiaxed grainstructure is, however, expected to show some favourable mechanical properties, e.g. resistance to crack propagation, as compared to a layer with a columnar grainstructure. One well-known and possible technique to avoid columnar grain growth is to deposit a so-called multilayer structure in which the columnar growth of e.g. Al₂O₃ is periodically interrupted by the growth of a thin, 0.1-1 µm second layer such as disclosed in US 4,984,940. The second layer should preferably have a different crystal structure or at least different lattice spacings in order to be able to initiate renucleation of the first layer. One example of such a technique is when the Al₂O₃ growth periodically is interrupted by a short TiN deposition process resulting in a (Al₂O₃+TiN)xn multilayer structure with a thickness of the individual TiN-layers of about 0.1-1 µm e.g. see Proceedings of the 12:th European CVD Conference page pr.8-349. However, such multilayer structures very often suffer from a low adherence between the two different types of layers.

[0009] It is the object of the present invention to provide onto a hard substrate, or preferably onto a hard substrate coated with a $TiC_xN_yO_z$ -layer, at least one single phase α -Al $_2O_3$ -layer with a microstructure which is different from the prior art columnar α - or κ -Al $_2O_3$ CVD layers mentioned above. It is also the object of the present invention to provide a high performance tool coating comprising the invented Al $_2O_3$ -layer.

[0010] It is a further object of the invention to provide an alumina coated cutting tool insert with improved cutting performance in steel, stainless steel, cast iron and in particular nodular cast iron.

[0011] Figure 1a is a Scanning Electron Microscope (SEM) micrograph of an Al₂O₃-layer according to the present invention.

[0012] Figure 1b is a SEM micrograph at high magnification of a polished cross-section of an Al₂O₃-layer according to the present invention.

[0013] Figure 2a is a SEM micrograph prior art Al₂O₃-layer.

[0014] Figure 2b is a SEM micrograph at high magnification of a polished cross-section of an Al_2O_3 -layer according to the prior art.

[0015] Figure 3a is a SEM micrograph of a prior art multilayer Al₂O₃/TiN coating.

[0016] Figure 3b is a SEM micrograph at high magnification of a polished cross-section of an Al₂O₃/TiN multilayer according to the prior art.

[0017] Surprisingly it has been found that a non-columnar α -Al₂O₃-layer can be deposited by interrupting the Al₂O₃ growth process by obstructing the flow of the CO₂, AlCl₃, HCl and H₂S gases to the reactor chamber and then immediately introducing TiCl₄ (H₂ is already present in the reactor) for a short period of time. When the reactant gases AlCl₃, HCl, CO₂ and H₂S are allowed to reenter the reactor again in that mentioned order, renucleation of Al₂O₃ will take place. The duration of the TiCl₄ treatment as well as the TiCl₄ concentration are important parameters which must be optimized in order to obtain the desired result. If the TiCl₄ concentration is too low or/and treatment time is too short, the renucleation of the Al₂O₃-layer will not be sufficiently dense to cover a sufficient portion of the whole coating surface. If, on the other hand, the TiCl₄ concentration is too high and/or the treatment time is too long, the cohesion between

the Al₂O₃ grains will be too weak resulting in a low quality coating.

[0018] The method of the present invention thus relates to the coating of a body with an α -alumina-layer during which the body is brought in contact with a hydrogen carriergas containing one or more halides of aluminium and a hydrolysing

EP 1 209 255 A2

and/or oxidising agent at temperature of the body between 950 and 1000 °C. The oxidation potential of the CVD-reactor atmosphere prior to the nucleation of Al_2O_3 is kept at a low level keeping the total concentration of H_2O , water vapour, or other oxidising species, preferably less than 5 ppm. The Al_2O_3 growth is started by sequencing the following gases $AlCl_3$, HCl and CO_2 (H_2 is already present in the reactor) into the reaction chamber in that mentioned order or by using the start-up procedures described in any of the prior art patents, US 5,487,625 and US 5,766,782, in order to achieve different textures of the Al_2O_3 -layer. After 10-60 minutes a sulphur dopant, preferably H_2S , is added to the gas mixture. The flow of the CO_2 , $AlCl_3$, HCl gases and the sulphur dopant are periodically interrupted at intervals of 10-50 minutes and 1-10 % (of the hydrogen flow) $TiCl_4$ is allowed to enter the reactor for a period of 1-10 minutes and then again replaced by $AlCl_3$, HCl, CO_2 and the sulphur dopant in that mentioned order. This procedure is repeatedly carried out in order to obtain a striated, bimodal α - Al_2O_3 -layer-structure with the desired grainsize and texture.

[0019] In contrast to the columnar grains of prior art Al_2O_3 -layers, the grains of the Al_2O_3 -layers according to the present invention are essentially equiaxed with a bimodal structure which is a mixture of small and large grains. The obtained grainsize and the distribution of the same are dependent on the number of $TiCl_4$ treatments carried out. The more frequently the Al_2O_3 process is interrupted and the Al_2O_3 surface is treated with $TiCl_4$, the smaller the Al_2O_3 grains will be. The coarser Al_2O_3 grains have an average grain size $d_c \le 1$ μm and the finer Al_2O_3 grains $0.1 \le d_c \le d_c/3$. [0020] The grainsize in the α - Al_2O_3 -layer can be determined from a SEM top-view micrograph at about 4000X magnification. Such a micrograph of an Al_2O_3 -layer surface according to the present invention is shown in Fig 1a. In Fig 2a and 3a, the micrographs of prior art Al_2O_3 -layers are shown. The size and the shape of the grains can easily be observed. Furthermore, the striated zones in the α - Al_2O_3 -layer which contain titanium and oxygen are visible in a polished cross section at 4000-6000 X magnification. These striated zones which do not contain any carbon or nitrogen may also contain some aluminium. The striated zones are preferably <0.2 μ m thick and the number of striated zones per μ m Al_2O_3 -layer should be 1-10. They may be closely linked together but in some cases almost resembling a multilayer structure. The presence of these striated zones in the Al_2O_3 structure evidently limits the Al_2O_3 grain growth and makes renucleation possible without the negative effect of fully intermediate or intervening layers.

[0021] By selecting appropriate conditions for the initial growth of the Al_2O_3 -layer, e g according to the procedures in patents US 5,487,625 and US 5,766,782, Al_2O_3 -layers textured in the (012)-, (024)- or (104)-directions with a texture coefficient TC>1.3 can be deposited.

[0022] The texture Coefficient, TC, is defined as:

30

$$TC(hkl) = \frac{I(hkl)}{I_o(hkl)} \left\{ \frac{1}{n} \sum_{i=0}^{\infty} \frac{I(hkl)}{(hkl)} \right\}^{-1}$$

where

35

I(hkl) = measured intensity of the (hkl) reflection $I_0(hkl)$ = standard intensity of the ASTM standard powder pattern diffraction data n = number of reflections used in the calculation, (hkl) reflections used are: (012), (104), (110), (113), (024), (116)

40 [0023] More specifically, the coated body comprises a cutting tool with a substrate of cemented carbide, cermet, ceramic or superhard material and with at least on the functioning parts of the surface thereof a coating consisting of a hard wear resistant material. In said coating at least one layer is a single phase α-Al₂O₃-layer according to the present invention, and said single phase α-Al₂O₃-layer having a thickness in the range 0.5-25 μm. The other layers in the coating structure may be TiC or related carbide, nitride, carbonitride, oxycarbide and oxycarbonitride of a metal selected from the Groups IVB, VB, and VIB of the Periodic Table, the elements B, Al and Si and/or mixtures thereof. Such other layers may be deposited by CVD, PACVD (Plasma CVD), PVD (Physical Vapour Deposition) or MT-CVD (Moderate Temperature CVD). At least one of such other layers is in contact with the substrate. The total thickness of the coating of the cutting tool can vary between 1 and 30 μm.

50 Example

55

[0024]

A) Cemented carbide cutting inserts in style CNMG 120412-KM with the composition 6 weight-% Co and balance WC were coated with a 5 μm thick layer of Ti(C,N) using the MTCVD-technique with TiCl₄, H₂, N₂ and CH₃CN as process gases. In subsequent process steps during the same coating cycle, a 0.5 μm TiC_xN_yO_z-layer with an approximate composition corresponding to x=0.5, y=0.3 and z=0.2 was deposited followed by a 6 μm thick layer of α-Al₂O₃ deposited according to the invented coating process. Prior to the nucleation of the Al₂O₃ the oxidation

EP 1 209 255 A2

potential of the carrier gas H_2 (only gas present in the reactor) i.e. the water vapour concentration, was explicitly set to a low level, less than 5 ppm.

Then the first Al₂O₃-layer step 1 was started up. The process conditions during the Al₂O₃ deposition were as below:

Step	1	2	3	4
CO ₂	4%	4%	0%	4%
AICI ₃	4%	4%	0%	4%
H ₂ S	-	0.2%	0%	0.2%
HCI	1.5%	5%	0%	5%
H ₂	balance	balance	balance	balance
TiCl ₄	-	-	5%	-
Pressure	60 mbar	60 mbar	60 mbar	60 mbar
Temperature	1000°C	1000°C	1000°C	1000°C
Duration	30 min	20 min	5 min	20 min

The Al_2O_3 -layer was deposited by proceeding through step 1, 2 and 3 and then looping between step 3 and step 2 nine times and finishing the process by step 4. Hence, the Al_2O_3 -process was interrupted and treated with $TiCl_d/H_2$ altogether ten times.

XRD-analysis of the deposited α -Al₂O₃ showed a strongly textured structure with a texture coefficient TC(012) of 1.7 of the (012) planes and TC(024) of 1.5 of the (024) planes.

From the SEM-micrographs taken from the top surface, similar to Fig 1a, the grainsize was determined. The coarse grains had an average grainsize of $0.9~\mu m$ and the fine grains had an average grainsize of $0.3~\mu m$.

- B) The cemented carbide substrate of A) was coated with Ti(C,N) (5 μ m), a 0.5 μ m $TiC_xN_yO_z$ -layer and Al_2O_3 (6 μ m) as set forth in A) except for that the Al_2O_3 process was carried out according to prior art technique i.e. the same process as described under A) except for that the $TiCl_4/H_2$ -treatments were excluded and an Al_2O_3 process time of 290 min. This resulted in an Al_2O_3 -layer consisting essentially of the κ - Al_2O_3 phase with an average grain-size of about 2 μ m, Fig 2a.
- C) The cemented carbide substrate of A) was coated with Ti(C,N) (5 μ m), a 0.5 μ m $TiC_xN_yO_z$ -layer and a 6 μ m of multilayered Al_2O_3 coating on top as set forth in A) except for that step 3 was substituted by a prior art TiN-process step. The process parameters for this TiN-step were as follow: 2 % $TiCl_4$, 40 % N_2 , 58 % H_2 and a process time of 3 min. This resulted in a multilayer coating consisting of eleven layers of Al_2O_3 and ten thin layers of TiN. The Al_2O_3 -layer was determined to consist of the κ -phase.

[0025] Coated tool inserts from A), B) and C) were all wet blasted with 150 mesh Al₂O₃ powder in order to smooth the coating surfaces.

[0026] The cutting inserts were then tested with respect to edge line and rake face flaking in a facing operation in nodular cast iron. The shape of the machined work piece was such that the cutting edge is intermitted twice during each revolution.

Cutting data:

Speed = 170 m/min,

Cutting depth = 2.0 mm and

Feed = 0.1 mm/rev.

[0027] The inserts were run one cut over the face of the work piece. This test is very decisive and demanding when cutting nodular cast iron.

[0028] The percentage of the edge line in cut that obtained flaking into the carbide substrate was recorded for each insert tested as well as to what extent flaking occurred on the rake phase of the cutting insert.

[0029] The results are expressed in the table below as an average value of the four inserts.

		Flaking	
		Edge line	Rake face
A)	α-Al ₂ O ₃ single phase/striated (acc. to invention)	0 %	only spot-wise flaking of the Al ₂ O ₃ -layer

5

10

15

20

25

30

35

40

45

50

EP 1 209 255 A2

(continued)

		Flaking		
		Edge line	Rake face	
B)	κ-Al ₂ O ₃ (prior art)	90 %	severe Al ₂ O ₃ -flaking	
C)	multilayer Al ₂ O ₃ /TiN (prior art)	70 %	Flaking between TiN and Al ₂ O ₃ layers	

Claims

10

15

25

30

35

40

- Cutting tool comprising a body on which at least on the functioning parts of the surface thereof, a hard and wear
 resistant coating is applied characterized in said coating comprising a structure of one or more refractory layers
 of which at least one layer essentially consist of α-Al₂O₃ in that said α-Al₂O₃-layer consists of essentially equiaxed
 grains with an average grainsize of <1 µm and further containing striated zones containing >5 at % titanium but
 no nitrogen or carbon.
- Cutting tool according to claim 1 characterised in that said Al₂O₃-layer has a bimodal grainsize distribution with coarser grains with an average grain size d_c≤1 μm and the finer grains d_f in the interval 0.1≤d_f≤d_c/3.
 - 3. Cutting tool according to any of the preceding claims characterised in that said striated zones are <0.2 μm thick and the number thereof per μm Al₂O₃-layer 1-10.
 - 4. Cutting tool according to any of the preceding claims characterized in that said alumina layer is textured in at least one of the directions (012), (104) or (024) with a texture coefficient larger than 1.3, the texture coefficient being defined as:

$$TC(hkl) = \frac{l(hkl)}{l_o(hkl)} \left\{ \frac{1}{n} \sum_{i=0}^{n} \frac{l(hkl)}{(hkl)} \right\}^{-1}$$

where

I(hkl) = measured intensity of the (hkl) reflection
Io(hkl) = standard intensity of the ASTM standard powder pattern diffraction data
n = number of reflections used in the calculation
(hkl) reflections used are: (012), (104), (110), (113), (024), (116).

- Cutting tool according to any of the preceding claims characterized in having at least one layer in contact with the substrate, said layer comprising of a nitride, carbide, carbonitride, oxycarbide and/or oxycarbonitride of a metal selected from the Groups IVB, VB and VIB of the Periodic Table, B, AI, and Si and/or mixtures thereof.
- Method of coating a body with an α-alumina layer at which the body is brought in contact with a hydrogen carrier gas containing one or more halides of aluminium and a hydrolysing and/or oxidising agent at 950-1000 °C wherein the oxidation potential of the CVD-reactor atmosphere prior to the nucleation of Al₂O₃ is kept at a low level using a total concentration of H₂O or other oxidising species preferably below 5 ppm, the Al₂O₃ growth being started up by entering the following gases into the reaction chamber: AlCl₃, HCl and CO₂ and after 20-60 min a sulphur dopant, preferably H₂S, is added characterized in that during the growth, the CO₂, AlCl₃, HCl and the sulphur dopant are repeatedly stopped with intervals of 10-50 min and TiCl₄ is allowed to enter the reactor for 1-10 min in a concentration of 1-10% then replaced by AlCl₃, HCl, CO₂ and the sulphur dopant in the mentioned order.

